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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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EXAMINER
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BROWN, CHRISTOPHER J

ART UNIT	PAPER NUMBER
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2134

MAIL DATE	DELIVERY MODE
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07/31/2007

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/045,893	<b>Applicant(s)</b> ADUSUMILLI, KOTESHWERRAO	
	<b>Examiner</b> Christopher J. Brown	<b>Art Unit</b> 2134	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 15 May 2007.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 33-58 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 333-58 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
     Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## **DETAILED ACTION**

### ***Response to Arguments***

Applicant's arguments filed 5/15/07 have been fully considered but they are not persuasive. Applicant argues that the prior art does not teach "wherein the conversions are based on a conversion indication received from the interface". The examiner argues, using the broadest reasonable interpretation of the claim limitation, that Stewart in view of Kramer does teach the conversion is based on a conversion indication received from the interface.

As per claims 33, 42, 50, and 56 a conversion indication received may be either a key as a key exchange is well known in the process of SSL, or an indication may be received by the port used, as SSL uses a well known specific port (443) that would indicate a conversion to decrypt encrypted data. Either of these facts, well known in the art of security would meet the amended claim limitation.

### ***Claim Objections***

Claim 50 is objected to because of the following informalities: Claim 50 states "based on a conversion indication received from at the server" It is unclear if the indication is from the server, or the indication is received at the server. Appropriate correction is required.

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

**Claims 33-36, 38, 40, 42, 43, 45, 48, 50, 51, 52, and 54-58 are rejected under 35**

**U.S.C. 103(a) as being unpatentable over Stewart US 6,571,221 in view of Kramer US 2002/0099957.**

As per claims 33, 42, 50, and 56 Stewart teaches an interface to receive data from at least one wired client device and one wireless client device, (Col 8 lines 47-55). Stewart teaches logic to determine if the device is wired or wireless, (Col 7 lines 43-62, Col 8 lines 20-30). Stewart teaches requesting a secure connection from a wired or wireless device, (Col 13 lines 33-43). Stewart teaches that the client is authenticated in establishing a connection with the wired or wireless device, (Col 14 lines 29-44). Stewart does not teach SSL, WTLS or converting encrypted data to an unencrypted format.

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Kramer teaches a security format conversion system including from SSL WTLS, [0024], [0050]. Kramer teaches converting the encrypted data to a different format (encryption/decryption) [0050]. Kramer teaches a network interface and a server to receive converted data, [0049]. It is well known in the art that the port used and key exchanged in SSL, and WTLS indicate the recipient decrypt the encrypted data. It would have been obvious to one of ordinary skill in the art to use the protocols of Kramer with the system of Stewart because SSL and WTLS are widely accepted and compatible protocols.

As per claim 34, Stewart teaches that the device has an interface to transmit data and to receive data from a server, (Col 14 lines 16-22).

As per claims 38, 45, and 52, Stewart teaches requesting a digital certificate of the client and authenticating that certificate, (Col 14 lines 19-22, 29-33).

As per claims 35, 36, 40, 43, 48, 51, 54, and 55 Stewart does not teach protocols or determining the client type dependent on protocol.

Kramer teaches a security format conversion system including from SSL or WTLS, [0050].

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As per claims 57, and 58 Kramer teaches that all decryption takes place in a VPN server or firewall, which is located between a public network and a data center server, [0049], Fig 3.

**Claims 37, 41, 44, 46, and 49 are rejected under 35 U.S.C. 103(a) as being unpatentable over Stewart US 6,571,221 in view of Kramer US 2002/0099957 in view of Douglas US 2004/0010684**

As per claims 37 and 44, Stewart fails to teach sending a certificate from the server to the client.

Douglas teaches a handshaking technique where the client and server exchange certifications and digital signatures to authenticate each other, [0031], [0032].

It would have been obvious to one of ordinary skill in the art to use the handshaking technique of Douglas with the system of Stewart-Kramer because it allows the client to authenticate the server thus ensuring that the client is not communicating with an unauthorized party.

As per claims 41, and 49, Stewart-Kramer fails to teach receiving a digital signature from the client device and validating said signature.

Douglas teaches a handshaking technique where the client and server exchange certifications and digital signatures to authenticate each other, [0029], [0030] [0031].

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It would have been obvious to one of ordinary skill in the art to use the digital signatures of Douglas with the system of Stewart in order to confirm that data had not been manipulated in transit.

As per claim 46, Stewart –Kramer fails to teach verifying the validity period of the certificate.

Douglas teaches including a timestamp in the signed message, and validating said message, [0028], [0029]. It would have been obvious to one of ordinary skill in the art to use the timestamp of Douglas with the certificate of Stewart because it would prevent replay attacks [Douglas 0028].

**Claims 39, and 47 are rejected under 35 U.S.C. 103(a) as being unpatentable over Stewart US 6,571,221 in view of Kramer US 2002/0099957 in view of Hajmiragha US 6,289,460**

As per claims 39, and 47, Stewart-Kramer does not teach using a URL with a digital certificate.

Hajmiragha teaches sending a link, rather than the actual digital certificate, (Col 4 lines 40-43).

It would have been obvious to one of ordinary skill in the art to use the system of Stewart with the link of Hajmiragha, because the link prevents interception and modification of a digital certificate between parties.

**Claims 53 is rejected under 35 U.S.C. 103(a) as being unpatentable over Stewart US 6,571,221 in view of Kramer US 2002/0099957 in view of Stubblebine US 6,216,231**

As per claim 53, Stewart-Kramer does not teach updating a short lived server certificate based on a user defined interval.

Stubblebine teaches updating a short lived server certificate based on a user defined interval, (Col 15 lines 25-36).

It would have been obvious to one of ordinary skill in the art to use the updating certificates of Stubblebine with the Stewart-Kramer combination because short lived certificates increase security.

### ***Conclusion***

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the

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advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Christopher J. Brown whose telephone number is (571)272-3833. The examiner can normally be reached on 8:30-6:00.


If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kambiz Zand can be reached on (571)272-3811. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Christopher J. Brown



7/26/07



KAMBIZ ZAND  
SUPERVISORY PATENT EXAMINER